



## Differential Geometry II - Smooth Manifolds

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### Exercise Sheet 6 – Solutions

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#### Exercise 1 (to be submitted):

- (a) Let  $M$  be a topological manifold. Using facts from [Lee, Chapter 1, Section: Topological Properties of Manifolds](#), justify briefly the following assertion: There exists a countable, locally finite family  $\mathfrak{U} = (U_i)_{i \in \mathbb{N}}$  of relatively compact open subsets of  $M$  such that  $M = \bigcup_{i \in \mathbb{N}} U_i$ .
- (b) Let  $N$  and  $M_1, \dots, M_k$  be smooth manifolds, where  $k \geq 2$ , and let  $F_i: N \rightarrow M_i$  be smooth maps, where  $1 \leq i \leq k$ . Show that the map

$$G: N \rightarrow \prod_{i=1}^k M_i, \quad x \mapsto (F_1(x), \dots, F_k(x))$$

is smooth and that its differential at any point  $p \in N$  is of the form

$$(dG_p)(v) = (d(F_1)_p(v), \dots, d(F_k)_p(v)), \quad v \in T_p N.$$

- (c) Let  $M$  be a smooth manifold. Show that there exists a smooth map  $f: M \rightarrow [0, +\infty)$  that is proper.
- [Hint: Consider a function of the form  $f = \sum_{i=1}^{+\infty} c_i \psi_i$ , where  $(\psi_i)_{i=1}^{+\infty}$  is a smooth partition of unity subordinate to a cover  $\mathfrak{U}$  of  $M$  as in part (a) and  $(c_i)_{i=1}^{+\infty}$  is an appropriate sequence of non-negative real numbers.]
- (d) Let  $F: M \rightarrow N$  be an injective smooth immersion between smooth manifolds. Show that there exists a smooth embedding  $G: M \rightarrow N \times \mathbb{R}$ .
- [Hint: Use parts (b) and (c).]

#### Solution:

- (a) By [Lee, Lemma 1.10](#) we know that  $M$  has a countable basis  $\mathfrak{B}$  for its topology consisting of precompact (i.e., their closure is compact) coordinate balls, see the last paragraph on [Lee, p. 4](#). In particular, we can construct an open covering  $\mathfrak{X}$  of  $M$  by such balls. According to [Lee, Theorem 1.15](#), we can now find a countable, locally finite

refinement  $\mathfrak{U}$  of  $\mathfrak{X}$  consisting of elements of  $\mathfrak{B}$ . This  $\mathfrak{U}$  is by construction the desired family.

(b) The fact that  $G$  is smooth follows immediately from [Exercise Sheet 3, Exercise 4(a)], and the fact that the differential of  $G$  at  $p \in N$  has the claimed form follows readily from [Exercise Sheet 4, Exercise 1(b)] and [Exercise Sheet 4, Exercise 3].

(c) By part (a) there exists a countable, locally finite family  $\mathfrak{U} = (U_i)_{i \in \mathbb{N}}$  of relatively compact (i.e.,  $\overline{U_i}$  is compact for each  $i \in \mathbb{N}$ ) open subsets of  $M$  such that  $M = \bigcup_{i \in \mathbb{N}} U_i$ . Consider now a smooth partition of unity  $(\psi_i)_{i \in \mathbb{N}}$  subordinate to  $\mathfrak{U}$  and the sequence  $(c_i = i)_{i \in \mathbb{N}}$  of non-negative real numbers such that  $\lim_{i \rightarrow \infty} c_i = +\infty$ , and define the smooth function

$$f: M \rightarrow \mathbb{R}, \quad x \mapsto \sum_{i \in \mathbb{N}} c_i \psi_i(x) = \sum_{i \in \mathbb{N}} i \psi_i(x).$$

We will show that  $f$  is proper. Observe that for any  $k \in \mathbb{N}$  and any  $x \notin U_0 \cup \dots \cup U_k$ , since  $\text{supp } \psi_i \subseteq U_i$  and  $\sum_{i \in \mathbb{N}} \psi_i(x) = 1$ , we have

$$f(x) = \sum_{i > k} i \psi_i(x) \geq (k+1) \sum_{i > k} \psi_i(x) = k+1,$$

which implies (by contraposition) that  $f^{-1}([0, k]) \subseteq U_0 \cup \dots \cup U_k$  for any  $k \in \mathbb{N}$ . Thus, for any compact subset  $K \subseteq \mathbb{R}_{\geq 0}$ , there exist  $\ell \in \mathbb{N}$  such that  $K \subseteq [0, \ell]$  and  $r_\ell \in \mathbb{N}$  such that  $f^{-1}(K)$  is contained in some finite union  $U_0 \cup \dots \cup U_{r_\ell}$ , and hence in the compact subset  $\overline{U_0} \cup \dots \cup \overline{U_{r_\ell}}$  of  $M$ . Since  $f^{-1}(K)$  is a closed subset of  $M$  by the continuity of  $f$ , we conclude that  $f^{-1}(K)$  is a compact subset of  $M$ , as desired.

(c) By part (c) there exists a smooth proper function  $f: M \rightarrow \mathbb{R}$ . Consider now the map

$$G: M \rightarrow N \times \mathbb{R}, \quad x \mapsto (F(x), f(x)),$$

which is smooth and whose differential has the form  $dG = (dF, df)$  by part (b). Since  $F$  is injective, one immediately sees that  $G$  is also injective. Moreover, since  $F$  is a smooth immersion, and thus its differential  $dF_p$  is injective at every point  $p \in M$ , it follows readily that  $dG_p = (dF_p, df_p)$  is also injective at every point  $p \in M$ . Consequently,  $G$  is an injective smooth immersion.

Next, we claim that  $G$  is a proper map. Given a compact subset  $K \subseteq N \times \mathbb{R}$ , we will show that  $G^{-1}(K)$  is a compact subset of  $M$ . To this end, since  $N \times \mathbb{R}$  is a Hausdorff space,  $K$  is in particular a closed subset of  $N \times \mathbb{R}$ , and since  $G$  is continuous, the preimage  $G^{-1}(K)$  is a closed subset of  $M$ . Now, since the projection to the second factor  $\text{pr}_2: N \times \mathbb{R} \rightarrow \mathbb{R}$  is continuous, the image  $\text{pr}_2(K)$  is a compact subset of  $\mathbb{R}$ , and since  $f$  is proper by assumption, the preimage  $f^{-1}(\text{pr}_2(K))$  is a compact subset of  $M$ , which contains the closed set  $G^{-1}(K)$ . Hence,  $G^{-1}(K)$  is a compact subset of  $M$ , as claimed.

In conclusion,  $G$  is a smooth embedding by the above and by Proposition 4.6(b), as asserted.

**Exercise 2** (Characteristic property of surjective smooth submersions): Let  $\pi: M \rightarrow N$  be a surjective smooth submersion. Prove the following assertion: For any smooth manifold  $P$ , a map  $F: N \rightarrow P$  is smooth if and only if the composite map  $F \circ \pi: M \rightarrow P$  is smooth.

$$\begin{array}{ccc}
M & & \\
\pi \downarrow & \searrow^{F \circ \pi} & \\
N & \xrightarrow{F} & P
\end{array}$$

**Solution:** If  $F$  is smooth, then  $F \circ \pi$  is also smooth by [Exercise Sheet 3, Exercise 3]. Conversely, assume that  $F \circ \pi$  is smooth and let  $q \in N$ . Since  $\pi$  is surjective, there exists  $p \in M$  such that  $\pi(p) = q$ , and then *Theorem 4.16* guarantees the existence of a neighborhood  $U$  of  $q$  in  $N$  and a smooth local section  $\sigma: U \rightarrow M$  of  $\pi$  such that  $\sigma(q) = p$ . Then  $\pi \circ \sigma = \text{Id}_U$  implies

$$F|_U = F|_U \circ \text{Id}_U = F|_U \circ (\pi \circ \sigma) = (F|_U \circ \pi) \circ \sigma,$$

which is a composition of smooth maps. It follows from [Exercise Sheet 3, Exercise 2] and [Exercise Sheet 3, Exercise 3] that  $F$  is smooth.

**Exercise 3:** Let  $M$  and  $N$  be smooth manifolds, and let  $\pi: M \rightarrow N$  be a surjective smooth submersion. Show that there is no other smooth manifold structure on  $N$  that satisfies the conclusion of *Exercise 2*; in other words, assuming that  $\tilde{N}$  represents the same set as  $N$  with a possibly different topology and smooth structure, and that for every smooth manifold  $P$ , a map  $F: \tilde{N} \rightarrow P$  is smooth if and only if  $F \circ \pi$  is smooth, show that  $\text{Id}_N$  is a diffeomorphism between  $N$  and  $\tilde{N}$ .

**Solution:** Denote by  $\text{Id}_N$ , respectively  $\text{Id}_{\tilde{N}}$ , the identity map of  $N$ , respectively  $\tilde{N}$ , with the smooth structure of  $N$ , respectively  $\tilde{N}$ , on both the source and the target. Denote also by  $\text{Id}_{N, \tilde{N}}$ , respectively  $\text{Id}_{\tilde{N}, N}$ , the identity map, where on the source, respectively on the target, we put the smooth structure of  $N$ , and where on the target, respectively on the source, we put the smooth structure of  $\tilde{N}$ . In addition, denote by  $\pi_N$ , respectively  $\pi_{\tilde{N}}$ , the surjective smooth submersion with the smooth structure of  $N$ , respectively of  $\tilde{N}$ , on the target. Now, note that

$$\text{Id}_{N, \tilde{N}} \circ \pi_N = \pi_{\tilde{N}},$$

which is smooth, so by the assumption on  $N$  applied to  $P = \tilde{N}$  and  $F = \text{Id}_{N, \tilde{N}}$  we conclude that  $\text{Id}_{N, \tilde{N}}$  is smooth. On the other hand, we also have

$$\text{Id}_{\tilde{N}, N} \circ \pi_{\tilde{N}} = \pi_N,$$

which is smooth, so by the assumption on  $\tilde{N}$  applied to  $P = N$  and  $F = \text{Id}_{\tilde{N}, N}$  we conclude that  $\text{Id}_{\tilde{N}, N}$  is smooth. Hence,  $\text{Id}_{N, \tilde{N}}$  is a diffeomorphism with inverse  $\text{Id}_{\tilde{N}, N}$ .

**Exercise 4** (The converse of *Exercise 2* is false): Consider the map

$$\pi: \mathbb{R}^2 \rightarrow \mathbb{R}, (x, y) \mapsto xy.$$

Show that  $\pi$  is surjective and smooth, and that for each smooth manifold  $P$ , a map  $F: \mathbb{R} \rightarrow P$  is smooth if and only if  $F \circ \pi$  is smooth; but  $\pi$  is not a smooth submersion.

**Solution:** Both the smoothness and the surjectivity of  $\pi$  are clear. Therefore, if a map  $F: \mathbb{R} \rightarrow P$  is smooth, then  $F \circ \pi$  is also smooth by [Exercise Sheet 3, Exercise 3]. Now, assume that we have a smooth manifold  $P$  and a map of sets  $F: \mathbb{R} \rightarrow P$  such that  $F \circ \pi$  is smooth. Consider the map

$$\iota: \mathbb{R} \rightarrow \mathbb{R}^2, x \mapsto (x, 1),$$

which is clearly smooth and additionally satisfies  $\pi \circ \iota = \text{Id}_{\mathbb{R}}$ . Hence, the map

$$F = F \circ \text{Id}_{\mathbb{R}} = (F \circ \pi) \circ \iota$$

is smooth. Finally, note that the Jacobian of  $\pi$  is given by  $(y \ x)$ , which vanishes at  $(x, y) = 0$ , so  $\pi$  is not a smooth submersion.

**Exercise 5 (Pushing smoothly to the quotient):** Let  $\pi: M \rightarrow N$  be a surjective smooth submersion. Prove the following assertion: If  $P$  is a smooth manifold and  $F: M \rightarrow P$  is a smooth map that is constant on the fibers of  $\pi$ , then there exists a unique smooth map  $\tilde{F}: N \rightarrow P$  such that  $\tilde{F} \circ \pi = F$ .

$$\begin{array}{ccc} M & & \\ \pi \downarrow & \searrow F & \\ N & \xrightarrow{\tilde{F}} & P \end{array}$$

**Solution:** We define a set-theoretic function  $\tilde{F}: N \rightarrow P$  as follows: as  $\pi$  is surjective, there exists a set-theoretic right inverse  $s: N \rightarrow M$ , i.e.,  $\pi \circ s = \text{Id}_N$ , and now we set  $\tilde{F} := F \circ s$ . Let us verify that we indeed have  $\tilde{F} \circ \pi = F$ . Let  $x \in M$  be arbitrary. Then both  $x$  and  $s(\pi(x))$  get mapped to  $\pi(x)$  by  $\pi$ , and hence both are elements of the fiber  $\pi^{-1}(\pi(x))$ . Since  $F$  is constant on the fibers of  $\pi$  by hypothesis, we obtain

$$\tilde{F}(\pi(x)) = F(s(\pi(x))) = F(x).$$

As  $x \in M$  was arbitrary, we conclude that  $\tilde{F} \circ \pi = F$ , as claimed. Clearly,  $\tilde{F}$  is unique with this property: if  $\tilde{F}'$  is any other such function, then

$$\tilde{F}' = \tilde{F}' \circ \text{Id}_N = \tilde{F}' \circ \pi \circ s = F \circ s = \tilde{F}.$$

Finally, since  $F$  is smooth by assumption, Exercise 2 implies that  $\tilde{F}$  is smooth.

**Exercise 6 (Uniqueness of smooth quotients):** Let  $\pi_1: M \rightarrow N_1$  and  $\pi_2: M \rightarrow N_2$  be surjective smooth submersions that are constant on each other's fibers. Show that there exists a unique diffeomorphism  $F: N_1 \rightarrow N_2$  such that  $F \circ \pi_1 = \pi_2$ :

$$\begin{array}{ccc} & M & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ N_1 & \xrightarrow{F} & N_2 \end{array}$$

**Solution:** Since  $\pi_1$  is a surjective smooth submersion and since  $\pi_2$  is constant on the fibers of  $\pi_1$ , by *Exercise 5* there exists a unique smooth map  $G_1: N_1 \rightarrow N_2$  such that  $G_1 \circ \pi_1 = \pi_2$ :

$$\begin{array}{ccc} & M & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ N_1 & \xrightarrow{G_1} & N_2 \end{array}$$

By reversing now the roles of  $\pi_1$  and  $\pi_2$ , we see that there exists a unique smooth map  $G_2: N_2 \rightarrow N_1$  such that  $G_2 \circ \pi_2 = \pi_1$ :

$$\begin{array}{ccc} & M & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ N_1 & \xleftarrow{G_2} & N_2 \end{array}$$

We thus obtain the identities

$$G_2 \circ G_1 \circ \pi_1 = \pi_1 \tag{*}$$

and

$$G_1 \circ G_2 \circ \pi_2 = \pi_2. \tag{**}$$

Considering the diagram

$$\begin{array}{ccc} & M & \\ \pi_1 \swarrow & & \searrow \pi_1 \\ N_1 & & N_1 \end{array}$$

and observing that  $\text{Id}_{N_1} \circ \pi_1 = \pi_1$ , we deduce by (the uniqueness part of) *Exercise 5* and (\*) that

$$G_2 \circ G_1 = \text{Id}_{N_1}.$$

Considering now the corresponding diagram for  $\pi_2$  and using (\*\*) instead, we infer similarly that

$$G_1 \circ G_2 = \text{Id}_{N_2}.$$

Hence,  $F := G_1: N_1 \rightarrow N_2$  is a diffeomorphism such that  $F \circ \pi_1 = \pi_2$ , which is unique (with this property) by construction.